



# RESPONSIBLE INVESTING REQUEST FOR INFORMATION

Firm Name: 17Capital  
Completed By: Carys Wright  
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## PREAMBLE

2) Are sustainable investing and ESG factors integrated into your investment process and portfolio management decisions? If yes, please provide details.

For all potential investments, 17Capital seeks to identify whether there are any material ESG issues.. 17Capital uses a combination of proprietary ESG scoring and a third-party system RepRisk during its diligence for all potential investments and as part of an ongoing monitoring processes. Please see further details below:

**Deal Scorecard:**The investment team in conjunction with the ESG team carry out a pre-investment screening. This includes a high-level ESG assessment with a series of questions where the responses provide an early indication of any ESG risks that may be present. This ESG assessment contributes to an overall assessment score for the investment opportunity.

This scorecard is reviewed by the Investment Committee. If any ESG issues are raised in the scorecard, further action may be taken to ensure any potential issues are properly investigated.

**Due diligence:**For all investments that pass screening, the team continues to further assess the manager's ESG approach and capabilities. A comprehensive proprietary ESG questionnaire is sent to the fund manager to guide the process. The questionnaire responses are reviewed, and a risk assessment is completed, which includes a proprietary score. Any areas of concern will be reflected in the Investment Committee memo. This questionnaire is then sent annually, the risk assessment is refreshed and any material ESG issues that are then identified will be discussed with the manager.

**RepRisk:**The underlying portfolio companies of the investment are screened for any material ESG-related matters using RepRisk, an independent source of information. In case RepRisk discovers any material or significant results, these are communicated to the Investment team and followed up with the manage, as needed..

Finally, the Investment Committee will confirm that any ESG-related issues have been explicitly assessed and are considered when making the investment decision. Occasionally the Investment Committee may request specific ongoing monitoring actions to be taken following an investment.

b) If you are signatory to other coalitions, please list them.

In addition to UNPRI, 17Capital is a member of the following coalitions/organizations and fully endorses and adheres to their respective codes of conduct: (AEMC AMCrofo-72 0 0r) 0.8 (st)-2EMC AMCrofo





9) Describe the climate



17) Has your firm produced a Sustainability Accounting Standards Board (SASB) report? If yes, please provide a link to the most recent report.

N/A

## DIVERSITY

18) Please provide the composition of your senior leadership team and board of directors, including women and visible minorities. How do you encourage diversity of perspectives and experience?

The Board of Directors is 100% male. The C-Suite (CFO, CPO, CCO) is 67% male, 33% female.

17Capital has both a policy and various DEI initiatives in place. Please refer to the Equal Opportunities policy attached in the email. The DEI approach covers leadership, recruitment & selection, retention (development, promotion and pay) and culture. The topic is led by our CPO and Head of ESG, with continual support and input from Managing Partners, Partners, and senior leadership. Each component aims to encourage dive0 tage3agght aeg (e)s.7 (t h)1.90.0 c 0 Tw 1.5.1 ( p)4( )JTJ



## MONITORING

19) After making the decision to invest in a fund/company, what is your process for monitoring the investment's ESG performance during your ownership period?

For each of the 17Capital investments, an annual ESG questionnaire is sent to the underlying fund manager that requests comprehensive data on environmental, social and governance issues. The questionnaires comprise qualitative and quantitative data.

The team will also often share ESG best practices and engage with managers

